

## Statistical Thermodynamics of Solutions of Optically Active Substances. II. Solubility of *d*- and *l*-Isomers in Optically Active Solvents

By Kazuo AMAYA

(Received March 1, 1961)

It is expected theoretically that when *d*- and *l*-optical isomers are independently dissolved in an optically active liquid, the solubilities of *d*- and *l*-isomers may be different. To elucidate the special feature of this problem, the theoretical expression for the solubilities of *d*- and *l*-optical isomers is based on the model described in a previous paper<sup>1)</sup>. Further a possibility of optical resolution is discussed.

### Theory

Let us consider two kinds of systems, the one of which contains optically active liquid substance A and *l*-isomer of optically active molecule B (which is denoted by  $B_l$ ) and the other contains A and *d*-isomer of optically active molecule B (which is denoted by  $B_d$ ). For simplicity it is assumed that the two components are completely immiscible in the solid state and completely miscible in the liquid state.

The chemical potential of  $B_l$  molecule in crystal  $\mu_{B_l}^K$  is a function of absolute temperature,  $T$ , alone while the chemical potential of the same molecule in solution  $\mu_{B_l}^S$  is a function of,  $T$ , mole fraction of  $B_l$ ,  $x_{B_l}$ , and interaction parameter between A and  $B_l$  molecules,  $\omega_{AB_l}$ . For a saturated solution of  $B_l$  in A the chemical potential of  $B_l$  is equal to that in the crystal, then it follows that

$$\mu_{B_l}^K(T) = \mu_{B_l}^S(T, x_{B_l}, \omega_{AB_l}) \quad (1)$$

In a similar way for a saturated solution of  $B_d$  in the following expression is obtained

$$\mu_{B_d}^K(T) = \mu_{B_d}^S(T, x_{B_d}, \omega_{AB_d}) \quad (2)$$

where  $\mu_{B_d}^K$  and  $\mu_{B_d}^S$  are the chemical potentials of  $B_d$  molecule in the crystal and in the saturated solution respectively, and  $x_{B_d}$  is the mole fraction of  $B_d$  in the solution and  $\omega_{AB_d}$  is an interaction parameter between A and  $B_d$  molecules.

As  $B_l$  and  $B_d$  molecules are mirror images for each other, the chemical potential of  $B_l$  molecule is equal to that of  $B_d$  molecule in the crystal at the same temperature. Then it follows from 1 and 2 that

$$\begin{aligned} \mu_{B_l}^K(T) &= \mu_{B_d}^K(T) = \mu_{B_l}^S(T, x_{B_l}, \omega_{AB_l}) \\ &= \mu_{B_d}^S(T, x_{B_d}, \omega_{AB_d}) \end{aligned} \quad (3)$$

Since  $\omega_{AB_l}$  and  $\omega_{AB_d}$  have different values,  $x_{B_l}$  and  $x_{B_d}$  in each saturated solution have different values. It means that the solubility of  $B_d$  and  $B_l$  optical isomers differ from each other. In the following, explicit expressions for  $\mu_{B_l}^S$  and  $\mu_{B_d}^S$  will be derived. In deriving the theoretical expressions, the following assumptions are made:

(1) Molecule A,  $B_l$  and  $B_d$  are equal in size and each occupies a site of quasi-crystalline lattice and has  $3z$  orientational freedoms.

(2) Molecule A is covered by  $z$  planes, one of which is composed of  $a$  element, and the others ( $z-1$ ) are composed of  $b$  elements. The  $a$  element is composed of three subelements 1, 2 and 3 and their arrangement is in the order 1, 2, 3 clockwise viewed from outside of the molecule.

(3) Molecule B (both  $B_l$  and  $B_d$ ) is also covered by  $z$  planes, one of which is composed of  $a'$  element and the others ( $z-1$ ) are composed of  $b'$  elements.  $a'$  element is composed of three subelements 1', 2' and 3' and their arrangement is in the order 1', 2', 3' clockwise for  $B_l$  molecule and 1', 3', 2' clockwise for  $B_d$  molecule viewed from outside of the molecule.

(4) The configurational energy of the system is the sum of interaction energies of plane-plane pairs.

If the interaction energy of plane  $i$  and plane  $j$  is denoted by  $\omega_{ij}$ , all possible kinds of  $\omega_{ij}$  and their interaction energies become as follows.

$$\begin{aligned} \text{A-A} \quad \omega_{bb}, \omega_{ab}, \omega_{aa_1} &= \omega_{11} + 2\omega_{23} \\ \omega_{aa_2} &= \omega_{22} + 2\omega_{13} \\ \omega_{aa_3} &= \omega_{33} + 2\omega_{12} \end{aligned}$$

$$\begin{aligned} \text{B-} B_l, \text{B-} B_d \quad \omega_{b'b'}, \omega_{a'b'}, \omega_{a'a'_1} &= \omega_{1'1'} + 2\omega_{2'3'} \\ \omega_{a'a'_2} &= \omega_{2'2'} + 2\omega_{1'3'} \\ \omega_{a'a'_3} &= \omega_{3'3'} + 2\omega_{1'2'} \end{aligned}$$

$$\begin{aligned} \text{A-} B_l \quad \omega_{bb'}, \omega_{ab'}, \omega_{a'b}, \omega_{a'a_1} &= \omega_{11'} + \omega_{23'} + \omega_{32'} \\ \omega_{a'a'_2} &= \omega_{22'} + \omega_{13'} + \omega_{31'} \\ \omega_{a'a'_3} &= \omega_{33'} + \omega_{12'} + \omega_{21'} \end{aligned}$$

1) K. Amaya, This Bulletin, 34, 1689 (1961).

$$\begin{aligned} \mathbf{A}-\mathbf{B}_d \quad \omega_{bb'}, \omega_{ab'}, \omega_{a'b}, \omega_{a'a'}^d &= \omega_{11'} + \omega_{22'} + \omega_{33'} \\ \omega_{a'a'}^2 &= \omega_{13'} + \omega_{21'} + \omega_{32'} \\ \omega_{a'a'}^3 &= \omega_{12'} + \omega_{23'} + \omega_{31'} \end{aligned}$$

The total configurational energy of the system is expressed as a sum of these energies. For a system composed of  $N_A$  molecules of A and  $N_{B_l}$  molecules of  $B_l$ , the configurational partition function  $\Omega_{AB_l}$  is given by

$$\begin{aligned} \ln \Omega_{AB_l} &= \ln \frac{(N_A + N_{B_l})!}{N_A! N_{B_l}!} \\ &\quad - \ln (3z)^{N_A + N_{B_l}} - \frac{N_A \chi_A + N_{B_l} \chi_{B_l}}{kT} \\ &= \frac{\langle W_{AB_l}(N_A, N_{B_l}) \rangle}{kT} \\ &\quad - \frac{\langle W_{AB_l}(N_A, N_{B_l}) \rangle^2 - \langle W_{AB_l}^2(N_A, N_{B_l}) \rangle}{2! (kT)^2} \\ &\quad - \dots \end{aligned} \quad (4)$$

where

$$\begin{aligned} \chi_A &= \frac{z}{2} \cdot \frac{1}{z^2} \left\{ (z-1)^2 \omega_{bb} + 2(z-1) \omega_{ab} \right. \\ &\quad \left. + \frac{1}{3} (\omega_{aa_1} + \omega_{aa_2} + \omega_{aa_3}) \right\} \end{aligned} \quad (5)$$

$$\begin{aligned} \chi_{B_l} &= \frac{z}{2} \cdot \frac{1}{z^2} \left\{ (z-1)^2 \omega_{b'b'} + 2(z-1) \omega_{a'b'} \right. \\ &\quad \left. + \frac{1}{3} (\omega_{a'a'_1} + \omega_{a'a'_2} + \omega_{a'a'_3}) \right\} \end{aligned} \quad (6)$$

and  $W_{AB_l}(N_A, N_{B_l})$  is the excess potential energy of the system and  $\langle W_{AB_l}(N_A, N_{B_l}) \rangle$  and  $\langle W_{AB_l}^2(N_A, N_{B_l}) \rangle$  denote the unweighted mean over all configurations of this quantity and of the square of it respectively. Then  $\langle W_{AB_l}(N_A, N_{B_l}) \rangle$  is given by

$$\begin{aligned} \langle W_{AB_l}(N_A, N_{B_l}) \rangle &= (N_A + N_{B_l}) \{ (1 - x_{B_l})^2 \chi_A \\ &\quad + 2x_{B_l}(1 - x_{B_l}) \chi_{AB_l} + x_{B_l}^2 \chi_{B_l} \} \\ &\quad - N_A \chi_A - N_{B_l} \chi_{B_l} \end{aligned}$$

$$= (N_A + N_{B_l}) x_{B_l} (1 - x_{B_l}) (2\chi_{AB_l} - \chi_A - \chi_{B_l})^* \quad (7)$$

where

$$\begin{aligned} x_{B_l} &= N_{B_l} / (N_A + N_{B_l}) \\ \chi_{AB_l} &= \frac{1}{z} \left\{ (z-1)^2 \omega_{bb'} + (z-1) (\omega_{ab'} + \omega_{a'b}) \right. \\ &\quad \left. + \frac{1}{3} (\omega_{a'a'_1} + \omega_{a'a'_2} + \omega_{a'a'_3}) \right\} \end{aligned}$$

In evaluating  $\langle W_{AB_l}^2(N_A, N_{B_l}) \rangle$ , the average value over most probable configurations is taken as an approximation, since it is very difficult to evaluate the exact value of this quantity averaged over all possible configurations. For most probable configurations, the number of plane-plane pairs for each kind of pair becomes as shown in Table I.

The average value over the above configurations becomes as follows with a procedure similar to that described in the previous paper<sup>1)</sup>.

$$\begin{aligned} \langle W_{AB_l}(N_A, N_{B_l}) \rangle^2 - \langle W_{AB_l}^2(N_A, N_{B_l}) \rangle &= - \frac{(N_A + N_{B_l})^2}{4z^2} \left[ (1 - x_{B_l})^4 \cdot \frac{1}{36} \{ (\omega_{aa_1} - \omega_{aa_2})^2 \right. \\ &\quad + (\omega_{aa_2} - \omega_{aa_3})^2 + (\omega_{aa_3} - \omega_{aa_1})^2 \} \\ &\quad + 4x_{B_l}^2(1 - x_{B_l})^2 \cdot \frac{1}{36} \{ (\omega_{b'a'_1} - \omega_{b'a'_2})^2 \\ &\quad + (\omega_{b'a'_2} - \omega_{b'a'_3})^2 + (\omega_{b'a'_3} - \omega_{b'a'_1})^2 \} \\ &\quad + x_{B_l}^4 \cdot \frac{1}{36} \{ (\omega_{a'a'_1} - \omega_{a'a'_2})^2 + (\omega_{a'a'_2} - \omega_{a'a'_3})^2 \\ &\quad \left. + (\omega_{a'a'_3} - \omega_{a'a'_1})^2 \} \right] \end{aligned} \quad (8)$$

By substituting 8 into 4, the following expression for configurational partition function  $\Omega_{AB_l}$  is obtained.

TABLE I

The kind of pairs	Number of pairs	
A-A	$b-b$	$(z-1)^2/z^2 \cdot N_{AA}$
	$a-b$	$2(z-1)/z^2 \cdot N_{AA}$
	$a-a$	$1/z^2 \cdot N_{AA}$
$B_l-B_l$	$a'-b'$	$(-1)^2/z^2 \cdot N_{B_l B_l}$
	$a'-b'$	$2(z-1)/z^2 \cdot N_{B_l B_l}$
	$a'-a'$	$1/z^2 \cdot N_{B_l B_l}$
A- $B_l$	$b-b'$	$(z-1)^2/z^2 \cdot N_{AB_l}$
	$a-b'$	$(z-1)/z^2 \cdot N_{AB_l}$
	$a'-b$	$(z-1)/z^2 \cdot N_{AB_l}$
	$a-a'$	$1/z^2 \cdot N_{AB_l}$

\* Mean cohesive energy of a B molecule in an imaginary liquid state.

$$\begin{aligned} \ln \Omega_{AB_l} = & \ln \frac{(N_A + N_{B_l})!}{N_A! N_{B_l}!} + \ln (3z)^{N_A + N_{B_l}} \\ & + \frac{N_A \chi_A + N_{B_l} \chi_{B_l}}{kT} \frac{(N_A + N_B)}{kT} (2\chi_{AB_l} \\ & - \chi_A - \chi_{B_l}) x_{B_l} (1 - x_{B_l}) \\ & + \frac{1}{2(kT)^2} \frac{(N_A + N_{B_l})^2}{4z^2} \left[ (1 - x_{B_l})^4 \cdot \frac{1}{36} \{ (\omega_{aa_1} \right. \\ & - \omega_{aa_3})^2 + (\omega_{aa_2} - \omega_{aa_3})^2 + (\omega_{aa_3} - \omega_{aa_1})^2 \} \\ & + 4x_{B_l}^2 (1 - x_{B_l})^2 \cdot \frac{1}{36} \{ (\omega_{a'a'_1} - \omega_{a'a'_2})^2 \\ & + (\omega_{a'a'_2} - \omega_{a'a'_3})^2 + (\omega_{a'a'_3} - \omega_{a'a'_1})^2 \} \\ & + x_{B_l}^4 \frac{1}{36} \{ (\omega_{a'a'_1} - \omega_{a'a'_2})^2 + (\omega_{a'a'_2} - \omega_{a'a'_3})^2 \\ & \left. + (\omega_{a'a'_3} - \omega_{a'a'_1})^2 \} \right] \quad (9) \end{aligned}$$

Then the chemical potential of  $B_l$  molecules  $\mu_{B_l}^s$  can be obtained by partial differentiating  $-kT \ln \Omega_{AB_l}$  with respect to  $N_{B_l}$

$$\begin{aligned} \mu_{B_l}^s = & - \frac{\partial kT \ln \Omega_{AB_l}}{\partial N_{B_l}} = kT \ln x_{B_l} - \chi_{B_l} \\ & + (1 - x_{B_l})^2 (2\chi_{AB_l} - \chi_A - \chi_{B_l}) \\ & - \frac{1}{36} \frac{N}{z^2} \frac{1}{kT} \left[ - (1 - x_{B_l})^4 \{ (\omega_{aa_1} - \omega_{aa_2})^2 \right. \\ & + (\omega_{aa_2} - \omega_{aa_3})^2 + (\omega_{aa_3} - \omega_{aa_1})^2 \} \\ & + x_{B_l} (1 - x_{B_l})^3 \{ (\omega_{a'a'_1} - \omega_{a'a'_2})^2 \\ & + (\omega_{a'a'_2} - \omega_{a'a'_3})^2 + (\omega_{a'a'_3} - \omega_{a'a'_1})^2 \} \\ & + x_{B_l}^3 (2 - x_{B_l}) \{ (\omega_{a'a'_1} - \omega_{a'a'_2})^2 \\ & \left. + (\omega_{a'a'_2} - \omega_{a'a'_3})^2 + (\omega_{a'a'_3} - \omega_{a'a'_1})^2 \} \right] \quad (10) \end{aligned}$$

where  $N = N_A + N_{B_l}$ .

For the system consisting of  $N_A$  molecules of A and  $N_{B_d}$  molecules of  $B_d$ , the chemical potential  $\mu_{B_d}$  for the  $B_d$  molecule can be derived in quite the same way. Then  $\mu_{B_d}^s$  becomes

$$\begin{aligned} \mu_{B_d}^s = & kT \ln x_{B_d} - \chi_{B_d} \\ & + (1 - x_{B_d})^2 (2\chi_{AB_d} - \chi_A - \chi_{B_d}) \\ & - \frac{1}{36} \frac{N}{z^2} \frac{1}{kT} \left[ - (1 - x_{B_d})^4 \{ (\omega_{aa_1} - \omega_{aa_2})^2 \right. \\ & + (\omega_{aa_2} - \omega_{aa_3})^2 + (\omega_{aa_3} - \omega_{aa_1})^2 \} \\ & + x_{B_d} (1 - x_{B_d})^3 \{ (\omega_{a'a'_1} - \omega_{a'a'_2})^2 \\ & + (\omega_{a'a'_2} - \omega_{a'a'_3})^2 + (\omega_{a'a'_3} - \omega_{a'a'_1})^2 \} \\ & + x_{B_d}^3 (2 - x_{B_d}) \{ (\omega_{a'a'_1} - \omega_{a'a'_2})^2 \\ & \left. + (\omega_{a'a'_2} - \omega_{a'a'_3})^2 + (\omega_{a'a'_3} - \omega_{a'a'_1})^2 \} \right] \quad (11) \end{aligned}$$

where

$$\begin{aligned} \chi_{AB_d} = & \frac{1}{z} \left\{ (z-1)^2 \omega_{bb'} + (z-1) (\omega_{ab'} + \omega_{a'b}) \right. \\ & \left. + \frac{1}{3} (\omega_{a'a'_1}^d + \omega_{a'a'_2}^d + \omega_{a'a'_3}^d) \right\} \quad (12) \end{aligned}$$

Now compare 10 with 11. Since  $B_l$  is a mirror image of  $B_d$  and  $B_l$  molecules are energetically equivalent, it follows that

$$\chi_{B_l} = \chi_{B_d} \quad (13)$$

and from the relation

$$\begin{aligned} \omega_{a'a'_1}^d + \omega_{a'a'_2}^d + \omega_{a'a'_3}^d = & \omega_{11'} + \omega_{23'} + \omega_{32'} + \omega_{22'} \\ & + \omega_{13'} + \omega_{31'} + \omega_{33'} + \omega_{12'} + \omega_{21'} \\ = & \omega_{11'} + \omega_{22'} + \omega_{33'} + \omega_{13'} + \omega_{21'} \\ & + \omega_{32'} + \omega_{12'} + \omega_{23'} + \omega_{31'} \\ = & \omega_{a'a'_1}^d + \omega_{a'a'_2}^d + \omega_{a'a'_3}^d \end{aligned}$$

it follows that

$$\chi_{AB_l} = \chi_{AB_d} \quad (14)$$

Then the only difference between 10 and 11 is the second term in the bracket [ ] which contain the coefficient  $x_B(1-x_B)^3$ .\*

By using new notations that  $\chi_B = \chi_{B_l} = \chi_{B_d}$  and  $\chi_{AB} = \chi_{AB_l} = \chi_{AB_d}$ , and expressing mole fractions of  $B_l$  and  $B_d$  molecules in their saturated solutions by  $x_l$  and  $x_d$  respectively,  $x_l$  and  $x_d$  are correlated by the following equations

$$\begin{aligned} \mu_{B_l}^s(x_l) = & kT \ln x_l - \chi_B \\ & + (1 - x_l)^2 (2\chi_{AB} - \chi_A - \chi_B) \\ & - \frac{1}{36} \frac{N}{z^2} \frac{1}{kT} \{ - (1 - x_l)^4 C_{AA} \\ & + x_l (1 - x_l)^3 C_{AB_l} + x_l^3 (2 - x_l) C_{BB} \} \quad (15) \\ = & \mu_{B_d}^s(x_d) = kT \ln x_d - \chi_B \\ & + (1 - x_d)^2 (2\chi_{AB} - \chi_A - \chi_B) \\ & - \frac{1}{36} \frac{N}{z^2} \frac{1}{kT} \{ - (1 - x_d)^4 C_{AA} \\ & + x_d (1 - x_d)^3 C_{AB_d} + x_d^3 (2 - x_d) C_{BB} \} \quad (16) \end{aligned}$$

where

$$\begin{aligned} C_{AA} = & (\omega_{aa_1} - \omega_{aa_2})^2 + (\omega_{aa_2} - \omega_{aa_3})^2 \\ & + (\omega_{aa_3} - \omega_{aa_1})^2 \\ C_{BB} = & (\omega_{a'a'_1} - \omega_{a'a'_2})^2 + (\omega_{a'a'_2} - \omega_{a'a'_3})^2 \\ & + (\omega_{a'a'_3} - \omega_{a'a'_1})^2 \\ C_{AB_l} = & (\omega_{a'a'_1}^l - \omega_{a'a'_2}^l)^2 + (\alpha_{a'a'_2}^l - \omega_{a'a'_3}^l)^2 \\ & + (\omega_{a'a'_3}^l - \omega_{a'a'_1}^l)^2 \\ C_{AB_d} = & (\omega_{a'a'_1}^d - \omega_{a'a'_2}^d)^2 + (\omega_{a'a'_2}^d - \omega_{a'a'_3}^d)^2 \\ & + (\omega_{a'a'_3}^d - \omega_{a'a'_1}^d)^2 \end{aligned}$$

\*  $x_B$  denotes  $x_{B_l}$  or  $x_{B_d}$ .

### Discussions

The chemical potentials of  $B_l$  and  $B_d$  molecules in the solution  $\mu_{B_l}^s(x)$  and  $\mu_{B_d}^s(x)$  respectively, can be expressed as a function of their mole fraction  $x$  by the same formula except for the term containing  $C_{AB_l}$  and  $C_{AB_d}$ . If the common part is expressed by  $F(x)$ , the following formula is obtained.

$$\mu_{B_l}^s(x) = F(x) + \frac{1}{36} \frac{N}{z^2} \frac{1}{kT} C_{AB_l} x(1-x)^3 + \dots$$

$$\mu_{B_d}^s(x) = F(x) + \frac{1}{36} \frac{N}{z^2} \frac{1}{kT} C_{AB_d} x(1-x)^3 + \dots$$

This formula is represented schematically in Fig. 1. It is clear from the above expression that the higher the temperature the greater the difference between the two curves is. The straight line parallel to the  $x$  axis representing

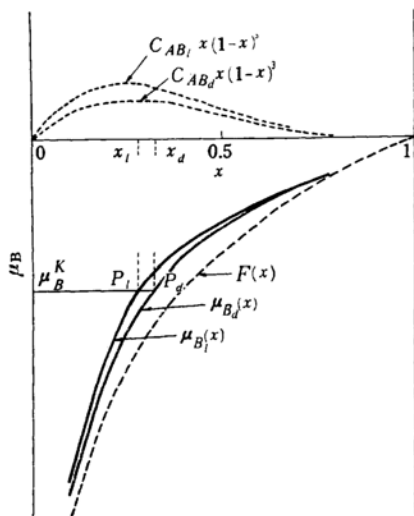


Fig. 1. Schematic diagram of  $\mu_{B_l}$  and  $\mu_{B_d}$  as a function of  $x$ .

$\mu = \mu^K$  crosses the curves representing  $\mu_{B_l}^s(x)$  and  $\mu_{B_d}^s(x)$  at points  $P_l$  and  $P_d$ . Projections of  $P_l$  and  $P_d$  on  $x$  axis represent  $x_l$  and  $x_d$  respectively. As these two curves generally do not coincide with each other,  $x_l$  and  $x_d$  have different values. This shows that the solubilities of  $d$ - and  $l$ -optical isomers in optical active solvent differ from each other and the magnitude of the difference is determined by the difference between  $C_{AB_l}$  and  $C_{AB_d}$ . It is clear from the above argument that in principle the optical resolution is possible using the difference of solubility of  $d$ - and  $l$ -optical isomers in optical active solvents and by choosing a proper kind of molecule of A, which makes the difference of  $C_{AB_l}$  and  $C_{AB_d}$  large, the difference between  $x_l$  and  $x_d$  can be made large enough to obtain an efficient optical resolution.

The above argument is confined only to the mixture in which  $B_l$  and  $B_d$  molecules form no racemic compound. For the case where a racemic compound is formed more elaborate consideration must be made.

### Summary

The statistical mechanical expression relating the solubilities of  $d$ - and  $l$ -optical isomers in optically active solvent is derived.

It is shown that there exists a difference between these two solubilities.

The possibility of optical resolution is also discussed.

The author wishes to express his hearty thanks to Professor Yonezo Morino of The University of Tokyo for his helpful discussions.

Government Chemical  
Industrial Research Institute  
Shibuya-ku, Tokyo